

# **DOCKET NO.: END919980110US3**

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Jimarez et al.

Examiner: Berezny, Nema O.

Serial No.: 09/782,47

Art Unit: 2813

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FLIP CHIP C4 EXTENSION STRUCTURE AND PROCES For:

Commissioner for Patents Washington, D.C. 20231

#### **BRIEF OF APPELLANTS**

This Appeal Brief, pursuant to the Notice of Appeal filed February 24, 2003, is an appeal from the rejection of the Examiner dated October 22, 2002.

# **REAL PARTY IN INTEREST**

International Business Machines, Inc. is the real party in interest.

#### RELATED APPEALS AND INTERFERENCES

None.

TECHNOLOGY CENTER 280 Claims 1-18 and 40-41 are currently pending. Claims 19-39 are withdrawn from consideration. Claims 1-18 and 40-41 have been rejected. This Brief is in support of an appeal from the rejection of claims 1-18 and 40-41.

STATUS OF CLAIMS

Serial No.:09/782,471

1

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#### STATUS OF AMENDMENTS

There are no After-Final Amendments which have not been entered.

#### **SUMMARY OF INVENTION**

As illustrated in FIGS. 7-8, the present invention discloses an electrical structure, comprising: a first substrate; first and third conductive bodies mechanically and electrically coupled to the first substrate; and a nonsolderable and nonconductive material volumetrically surrounding and contacting a first portion of a surface of the first conductive body such that a second portion of the surface of the first conductive body is not contacted by the nonsolderable and nonconductive material. The nonsolderable and nonconductive material volumetrically surrounds and contacts a first portion of a surface of the third conductive body such that a second portion of the surface of the third conductive body is not contacted by the nonsolderable and nonconductive material. The nonsolderable and nonconductive material is continuously distributed between the first conductive body and the third conductive body. See FIGS. 7-8; see also FIGS. 1-4 and description thereof in the specification on page 10, line 16 - page 13, line 1. The electrical structure further comprises second and fourth conductive bodies mechanically and electrically coupled to a second substrate. See FIGS. 7-8; see also FIGS. 5-6 and description thereof in the specification on page 13, line 2 - page 14, line 16. The second conductive body mechanically and electrically coupled to the first conductive body by surface adhesion at between a surface of the second conductive body and the second portion of the surface of the first conductive body, and the a fourth conductive body mechanically and electrically coupled to the third conductive body by surface adhesion between a surface of the fourth conductive body and

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the second portion of the surface of the third conductive body. See FIG 8; specification, page 16, lines 10-13. A melting point of the second conductive body is less than a melting point of the first conductive body; and a melting point of the fourth conductive body is less than a melting point of the third conductive body. See specification, page 13, lines 10-12.

The first conductive body may include a solder bump. See specification, page 13, line 3. The height of the second conductive body may be at least about 50% of a height of the solder bump. See specification, page 20, line 20 - page 21, line 2.

An area of the first portion of the surface of the first conductive body may exceed an area of the second portion of the surface of the first conductive body by a factor of at least about 10. See specification, page 18, lines 2-5.

A second conductive body may be at least about 3 mils. See specification, page 21, line 2.

The nonsolderable and nonconductive material may be selected from the group consisting of a polyimide, a photosensitive resin, an epoxy, and a silicone. See specification, page 11, lines 16-17; page 35, lines 9-12.

The electrical structure may further comprise an encapsulating material between the nonsolderable and nonconductive material and the second substrate, wherein the encapsulating material encapsulates the second conductive body. See specification, page 18, lines 12-16. The encapsulating material may include epoxy anhydride with silica filler. See specification, page 42, lines 12-15.

The melting point of first conductive body may exceed the melting point of the second conductive body by no more than about 147 °C. See specification, page 11, lines 8-11.

The second conductive body may include lead and tin in a eutectic lead/tin ratio. See specification, page 13, lines 12-15. However, the second conductive body may include lead and tin in lead/tin ratio that exceeds a eutectic lead/tin ratio. See specification, page 13, lines 15-17.

The substrate may includes an organic or ceramic material. See specification, page 16, lines 4-9.

The electrical structure may further comprise a second nonsolderable and nonconductive coating material, wherein a first portion of a surface of the second conductive body is coated by the second nonsolderable and nonconductive coating material such that a second portion of the surface of the second conductive body is not contacted by the second nonsolderable and nonconductive coating material, and wherein the second portion of the surface of the second conductive body is mechanically and electrically coupled to the second portion of the surface of the first conductive body. The second nonsolderable and nonconductive coating material includes a cured light-sensitive resin. See FIG. 6; specification, page 14, lines 4-16.

The first substrate may includes a chip or a module. See specification, page 11, line 11. and the second substrate may include a chip carrier or a circuit card. See specification, page 13, lines 7-8.

The nonsolderable and nonconductive material may be rigid at a temperature that is equal to the melting point of the first conductive body, and the nonsolderable and nonconductive material is rigid at a temperature that is equal to the melting point of the third conductive body.

See specification, page 15, line 18 - page 16, line 4.

# **ISSUES**

- 1. Whether claims 1-6, 9-11, 13-14, 16-18, and 40-41 under 35 U.S.C. §103(a) are unpatentable over Somaki et al. (U.S. Patent 5,641,113) in view of Akamatsu et al. (U.S. Patent 5,611,481).
- 2. Whether claims 7-8, 12, and 15 under 35 U.S.C. §103(a) are unpatentable over Somaki (U.S. Patent 5,641,113) in view of Akamatsu (U.S. Patent 5,611,481), and further in view of Thomas (U.S. Patent 6,213,347).

# **GROUPING OF CLAIMS**

The claims are grouped as shown in Table 1:

Table 1

Table 1					
Group	Issue	Claims	Do Claims of Group Rise or Fall Together?		
1	1	1-2, 5-6, 16-18, 40-41	Yes		
2	1	3-4, 9-11, 13-14	No		
3	1	7-8	Yes		
4	1	12, 15	No		

Groups 1-2 includes the claims corresponding to Issue 1. Groups 3-4 includes the claims corresponding to Issue 2. The claims of Groups 1-2 (associated with Issue 1) do not rise and fall together with the claims of Groups 3-4 (associated with Issue 2), because the claims of Groups 1-2 and the claims of Groups 3-4 are rejected over different combinations of references.

# Claims of Groups 1-2

Table 1 shows that: the claims of Group 1 stand and fall together. The claims of Group 2 do not stand and fall together, as shown in Table 1, because each of claims 3-4, 9-11, and 13-14 in Group 2 raises a unique issue not raised by any of the other claims in Group 2.

Claim 3 raises the unique issue of whether the cited references teach or suggest the following feature of claim 3: "wherein a height of the second conductive body is at least about 50% of a height of the solder bump".

Claim 4 raises the unique issue of whether the cited references teach or suggest the following feature of claim 4: "wherein an area of the first portion of the surface of the first conductive body exceeds an area of the second portion of the surface of the first conductive body by a factor of at least about 10".

Claim 9 raises the unique issue of whether the cited references teach or suggest the following feature of claim 9: "wherein the melting point of first conductive body exceeds the melting point of the second conductive body by no more than about 147 °C".

Claim 10 raises the unique issue of whether the cited references teach or suggest the following feature of claim 10: "wherein the second conductive body includes lead and tin in a eutectic lead/tin ratio".

Claim 11 raises the unique issue of whether the cited references teach or suggest the following feature of claim 11: "wherein the second conductive body includes lead and tin in lead/tin ratio that exceeds a eutectic lead/tin ratio".

Claim 13 raises the unique issue of whether the cited references teach or suggest the following feature of claim 13: "wherein the second substrate includes a ceramic material".

Claim 14 raises the unique issue of whether the cited references teach or suggest the following feature of claim 14: "a second nonsolderable and nonconductive coating material, wherein a first portion of a surface of the second conductive body is coated by the second nonsolderable and nonconductive coating material such that a second portion of the surface of the second conductive body is not contacted by the second nonsolderable and nonconductive coating material, and wherein the second portion of the surface of the second conductive body is mechanically and electrically coupled to the second portion of the surface of the first conductive body".

The claims of Group 2 do not rise and fall together with the claims of any of Group 1, because the claims 3-4, 9-10, and 13-14 in Group 2 raise issues or features (recited *supra*) which do not arise in connection with any of the claims of Group 1.

# Claims of Groups 3-4

Table 1 shows that: the claims of Group 3 stand and fall together. The claims of Group 4 do not stand and fall together, as shown in Table 1, because each of claims 12 and 15 in Group 4 raises a unique issue not raised by any of the other claims in Group 3.

Claim 12 raises the unique issue of whether the cited references teach or suggest the following feature of claim 12: "wherein the second substrate includes an organic material".

Claim 15 raises the unique issue of whether the cited references teach or suggest the following feature of claim 15: "wherein the second nonsolderable and nonconductive coating material includes a cured light-sensitive resin".

The claims of Group 4 do not rise and fall together with the claims of any of Group 3,

because the claims 12 and 15 in Group 4 raise issues or features (recited *supra*) which do not arise in connection with any of the claims of Group 3.

#### **ARGUMENT**

Issue 1

<u>CLAIMS 1-6, 9-11, 13-14, 16-18, AND 40-41 UNDER 35 U.S.C. §103(A) ARE NOT UNPATENTABLE OVER SOMAKI ET AL. (U.S. Patent 5,641,113) IN VIEW OF AKAMATSU ET AL. (U.S. Patent 5,611,481).</u>

The Examiner rejected claims 1-6, 9-11, 13-14, 16-18, and 40-41 under 35 U.S.C. §103(a) as being unpatentable over Somaki (5,641,113) in view of Akamatsu (5,611,481).

# Claims 1 and 18

Applicants respectfully contend that claims 1 and 18 are not unpatentable over Somaki in view of Akamatsu based on any of several arguments.

A first argument that claims 1 and 18 are not unpatentable over Somaki in view of
Akamatsu relates to the coupling between the first and third conductive bodies 13a FIGS. 2A-2E
and 3 of Somaki (as identified by the Examiner) and the second and fourth conductive bodies
13b in FIGS. 2E and 3 of Somaki (as identified by the Examiner), respectively, in Somaki.

Claim 1 requires: "a second conductive body mechanically and electrically coupled to the first
conductive body by surface adhesion at between a surface of the second conductive body and
the second portion of the surface of the first conductive body" and "a fourth conductive body
mechanically and electrically coupled to the third conductive body by surface adhesion between
a surface of the fourth conductive body and the second portion of the surface of the third

conductive body" (emphasis added). Claim 18 similarly recites: "means for mechanically and electrically coupling the second conductive body to the first conductive body by surface adhesion between a surface of the second conductive body and the second portion of the surface of the first conductive body" and "means for mechanically and electrically coupling the fourth conductive body to the third conductive body by surface adhesion between a surface of the fourth conductive body and the second portion of the surface of the third conductive body" (emphasis added).

Appellants contend that Somaki does not teach or suggest said surface adhesion between the solder bumps 13a and the respective solder bumps 13b. Moreover, said surface adhesion is physically impossible in the Somaki, by virtue of the disclosure in col. 6, lines 47-50 of Somaki which states: "In FIG. 2E, the **boundary lines** between the solder bumps 13a and 13b are actually **invisible** by reason that the solder bumps 13a and 13b are **molten and mixed** each other in this embodiment" (emphasis added). Appellants contend that said mixing of the molten solder effectively destroys the respective surfaces of the solder bumps 13a and 13b, so that it is physically impossible for the solder bumps 13a and 13b to be mechanically coupled to each other by surface adhesion. Said mixing of the molten solder creates a volumetric interface between the solder bumps 13a and 13b, said volumetric interface comprising solder from both the solder bump 13a and the solder bump 13b, said volumetric interface serving to mechanically couple the solder bumps 13a and 13b with each other.

A second argument that claims 1 and 18 are not unpatentable over Somaki in view of

Akamatsu is that the Examiner has not even alleged that Somaki teaches or suggests said surface

adhesion feature of claims 1 and 18. Thus, the Examiner has not satisfied his burden to show that the cited references teach or suggest all features of claims 1 and 18. Accordingly, Applicants respectfully contend that the Examiner has not made a *prima facie* case of obviousness in relation to claims 1 and 18, and there the rejection of claims 1 and 18 is improper.

A third argument that claims 1 and 18 are not unpatentable over Somaki in view of Akamatsu relates to the Examiner's rationale for combining Somaki and Akamatsu based on the Examiner's allegation that Akamatsu discloses that the melting point of the second conductive body is less than the melting point of the first conductive body as required by claims 1 and 18. The Examiner argues that "it would have been obvious to a person skilled in the art at the time of the invention to use the conductive bodies of different melting points of Akamatsu with the electrical structure of Somaki in order to avoid repellency of molten soldering metal by the electrode surface, and thereby reduce electric resistance and increase mechanical strength of the connection."

In response to the preceding argument by the Examiner for combining Somaki and Akamatsu, Appellants contend that Examiner has misinterpreted Akamatsu, since Akamatsu recites in col. 4,lines 22-27: "The electric connection implemented in the first and second embodiments described above does not have disconnection failure due to repellency of molten soldering metal by the electrode surface in the fabrication process. That reduces electric resistance and increases mechanical strength of the connection." Applicants maintain that the preceding quote from Akamatsu does not teach or suggest that use of conductive bodies of different melting points (i.e., the melting point of the second conductive body is less than the

melting point of the first conductive body) avoids repellency of molten soldering metal by the electrode surface. To the contrary, Akamatsu discloses that the issue of repellency of molten soldering metal by the electrode surface depends on the electrode material (and thus not on the melting point of the second conductive body being less than the melting point of the first conductive body, as alleged by the Examiner). In particular, Akamatsu discloses, that such repellency of molten soldering metal by the electrode surface occurs if the electrode is made of aluminum (see Akamatsu, col. 3, lines 32-35) and does not occur if the electrode is made of copper (see Akamatsu, col. 3, lines 35-38). Thus, the Examiner's argument for combining Somaki and Akamatsu is not persuasive.

Additionally, Somaki already enjoys the benefit alleged by the Examiner of combining Akamatsu with Somaki and thus does not need what Akamatsu allegedly offers. In particular, Somaki recites on col. 4, line 64 - col. 5, line 2: "In operation, temperatures in the oven and conveyor speed are controlled adequately to solder the solder baits onto the external electrodes 12 at 230 degrees C. After the reflowing step, solder bumps 13a are formed and engaged abuttingly corresponding external electrodes 12, as shown in FIG. 2B" (emphasis added). Thus Somaki already has a good mechanical and electrical connection between the solder bumps 13a and the external electrodes 12, without any help from Akamatsu. Therefore, the Examiner's rationale for combining Somaki and Akamatsu is not persuasive.

Based on the preceding arguments, Applicants respectfully maintain that claims 1 and 18 are not unpatentable over Somaki in view of Akamatsu, and that claims 1 and 18 are in condition for allowance.

Since claim 2 depends from claim 1, which Appellants have argued *supra* to be patentable under 35 U.S.C. §103(a), Appellants maintain that claim 2 is not unpatentable under 35 U.S.C. §103(a).

# Claim 3

Since claim 3 depends from claims 1 and 2, which Appellants have argued *supra* to be patentable under 35 U.S.C. §103(a), Appellants maintain that claim 3 is not unpatentable under 35 U.S.C. §103(a). Additionally, Somaki in view of Akamatsu does not teach or suggest the feature: "wherein a height of the second conductive body is at least about 50% of a height of the solder bump" as required by claim 3. The Examiner alleges that Somaki teaches the preceding feature of claim 3 in FIG. 2E. In response, Appellants contend that relative geometric dimensions cannot be inferred from a drawing, since the drawings are not required to be drawn to scale. Appellants additionally contend that Somaki does not disclose the preceding feature of claim 3 in the text portion of the Somaki disclosure. In addition, the Examiner has not identified the preceding feature of claim 3 in the text portion of the Somaki disclosure. Therefore, Appellants maintain that claim 3 is not unpatentable over Somaki in view of Akamatsu.

#### Claim 4

Since claim 4 depends from claims 1 and 2, which Appellants have argued *supra* to be patentable under 35 U.S.C. §103(a), Appellants maintain that claim 4 is not unpatentable under

35 U.S.C. §103(a). Additionally, Somaki in view of Akamatsu does not teach or suggest the feature: "wherein an area of the first portion of the surface of the first conductive body exceeds an area of the second portion of the surface of the first conductive body by a factor of at least about 10" as required by claim 4. The Examiner alleges that Somaki teaches the preceding feature of claim 4 in FIG. 2D. In response, Appellants contend that relative geometric dimensions cannot be inferred from a drawing, since the drawings are not required to be drawn to scale. Appellants additionally contend that Somaki does not disclose the preceding feature of claim 4 in the text portion of the Somaki disclosure. In addition, the Examiner has not identified the preceding feature of claim 4 in the text portion of the Somaki disclosure. Also, in FIG. 2D the first conductive body exceeds an area of the second portion of the surface of the first conductive body by a factor of less than 2. Thus, even if FIG. 2D could be relied upon, FIG. 2D does not show that Somaki teaches the preceding feature of claim 4. Therefore, Appellants maintain that claim 4 is not unpatentable over Somaki in view of Akamatsu.

# Claim 5

Since claim 5 depends from claim 1, which Appellants have argued *supra* to be patentable under 35 U.S.C. §103(a), Appellants maintain that claim 5 is not unpatentable under 35 U.S.C. §103(a).

# Claim 6

Since claim 6 depends from claim 1, which Appellants have argued *supra* to be patentable under 35 U.S.C. §103(a), Appellants maintain that claim 6 is not unpatentable under

Since claim 9 depends from claim 1, which Appellants have argued *supra* to be patentable under 35 U.S.C. §103(a), Appellants maintain that claim 9 is not unpatentable under 35 U.S.C. §103(a). Additionally, Somaki in view of Akamatsu does not teach or suggest "wherein the melting point of first conductive body exceeds the melting point of the second conductive body by no more than about 147 °C" as required by claim 9. The Examiner's alleges that "Akamatsu discloses a flip chip device wherein the chip is coupled to the substrate using two stacked layers of conductive bodies wherein the melting point of one conductive body exceeds the melting point of a second conductive body by no more than about 147 degrees C (col.4 lines 4-16). Therefore, it would have been obvious to a person skilled in the art at the time of the invention to use the conductive bodies of different melting points of Akamatsu with the electrical structure of Somaki in order to avoid repellency of molten soldering metal by the electrode surface, and thereby reduce electric resistance and increase mechanical strength of the connection (Akamatsu - col.4 lines 17-27)." In response, Appellants contend that the preceding reason given by the Examiner for combining Akamatsu with Somaki is irrelevant to the melting point temperature differential of no more than about 147 °C as claimed by claim 9. Thus, the Examiner's argument for combining Akamatsu with Somaki does not establish a prima facie case of obviousness with respect to the preceding feature of claim 9. Therefore, claim 9 is not unpatentable under 35 U.S.C. §103(a).

Since claim 10 depends from claim 1, which Appellants have argued *supra* to be patentable under 35 U.S.C. §103(a), Appellants maintain that claim 10 is not unpatentable under 35 U.S.C. §103(a). Additionally, Somaki in view of Akamatsu does not teach or suggest the feature: "wherein the second conductive body includes lead and tin in a eutectic lead/tin ratio" as required by claim 10. The Examiner alleges that Akamatsu teaches the preceding feature of claim 10. However, the Examiner has not provided a reason for combining Akamatsu with Somaki in relation to the preceding feature of claim 10. Therefore, Appellants maintain that the Examiner has not established a *prima facie* case of obviousness with respect to the preceding feature of claim 10. Therefore, claim 10 is not unpatentable under 35 U.S.C. §103(a).

# Claim 11

Since claim 11 depends from claim 1, which Appellants have argued *supra* to be patentable under 35 U.S.C. §103(a), Appellants maintain that claim 11 is not unpatentable under 35 U.S.C. §103(a). Additionally, Somaki in view of Akamatsu does not teach or suggest the feature: "wherein the second conductive body includes lead and tin in lead/tin ratio that exceeds a eutectic lead/tin ratio" as required by claim 11. The Examiner alleges that Akamatsu teaches the preceding feature of claim 11. However, the Examiner has not provided a reason for combining Akamatsu with Somaki in relation to the preceding feature of claim 11. Therefore, Appellants maintain that the Examiner has not established a *prima facie* case of obviousness with respect to the preceding feature of claim 11. Therefore, claim 11 is not unpatentable under 35 U.S.C. §103(a).

Since claim 13 depends from claim 1, which Appellants have argued *supra* to be patentable under 35 U.S.C. §103(a), Appellants maintain that claim 13 is not unpatentable under 35 U.S.C. §103(a). Additionally, Somaki in view of Akamatsu does not teach or suggest the feature: "wherein the second substrate includes a ceramic material" as required by claim 13. The Examiner alleges that Akamatsu teaches the preceding feature of claim 13. However, the Examiner has not provided a reason for combining Akamatsu with Somaki in relation to the preceding feature of claim 13. Therefore, Appellants maintain that the Examiner has not established a *prima facie* case of obviousness with respect to the preceding feature of claim 13. Therefore, claim 13 is not unpatentable under 35 U.S.C. §103(a).

# Claim 14

Since claim 14 depends from claim 1, which Appellants have argued *supra* to be patentable under 35 U.S.C. §103(a), Appellants maintain that claim 14 is not unpatentable under 35 U.S.C. §103(a). Additionally, Somaki in view of Akamatsu does not teach or suggest the feature: "further comprising a second nonsolderable and nonconductive coating material, wherein a first portion of a surface of the second conductive body is coated by the second nonsolderable and nonconductive coating material such that a second portion of the surface of the second conductive body is not contacted by the second nonsolderable and nonconductive coating material, and wherein the second portion of the surface of the second conductive body is mechanically and electrically coupled to the second portion of the surface of the first conductive body" as required by claim 14. The Examiner does not allege that Somaki in view of Akamatsu

teaches or suggests the preceding feature of claim 14. Indeed, the Examiner has not even

considered whether Akamatsu teaches or suggests the preceding feature of claim 14.

Accordingly, Appellants maintain that the Examiner has not established a prima facie case of

obviousness with respect to the preceding feature of claim 14. Therefore, claim 14 is not

unpatentable under 35 U.S.C. §103(a).

Claim 16

Since claim 16 depends from claim 1, which Appellants have argued supra to be

patentable under 35 U.S.C. §103(a), Appellants maintain that claim 16 is not unpatentable under

35 U.S.C. §103(a).

Claim 17

Since claim 17 depends from claim 1, which Appellants have argued supra to be

patentable under 35 U.S.C. §103(a), Appellants maintain that claim 17 is not unpatentable under

35 U.S.C. §103(a).

Claim 40

Since claim 40 depends from claim 1, which Appellants have argued supra to be

patentable under 35 U.S.C. §103(a), Appellants maintain that claim 40 is not unpatentable under

35 U.S.C. §103(a).

Claim 41

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Since claim 41 depends from claim 18, which Appellants have argued *supra* to be patentable under 35 U.S.C. §103(a), Appellants maintain that claim 41 is not unpatentable under 35 U.S.C. §103(a).

# Issue 2

CLAIMS 7-8, 12, AND 15 UNDER 35 U.S.C. §103(A) ARE NOT UNPATENTABLE OVER SOMAKI IN (U.S. Patent 5,641,113) VIEW OF AKAMATSU (U.S. Patent 5,611,481), AND FURTHER IN VIEW OF THOMAS (6,213,347).

The Examiner rejected claims 7-8, 12, and 15 under 35 U.S.C. §103(a) as being unpatentable over Somaki in view of Akamatsu, and further in view of Thomas (6,213,347).

# Claim 7

Since claim 7 depends from claim 1, which Appellants have argued *supra* to be patentable under 35 U.S.C. §103(a), Appellants maintain that claim 7 is not unpatentable under 35 U.S.C. §103(a).

# Claim 8

Since claim 8 depends from claims 1 and 7, which Appellants have argued *supra* to be patentable under 35 U.S.C. §103(a), Appellants maintain that claim 8 is not unpatentable under 35 U.S.C. §103(a).

#### Claim 12

Since claim 12 depends from claim 1, which Appellants have argued *supra* to be patentable under 35 U.S.C. §103(a), Appellants maintain that claim 12 is not unpatentable under Serial No.:09/782,471

35 U.S.C. §103(a). Additionally, Somaki in view of Akamatsu and further in view of Thomas does not teach or suggest the feature: "wherein the second substrate includes an organic material" as required by claim 12. The Examiner alleges that Thomas teaches the preceding feature of claim 12. However, the Examiner has not provided a reason for combining Thomas with Somaki and Akamatsu in relation to the preceding feature of claim 12. Therefore, Appellants maintain that the Examiner has not established a *prima facie* case of obviousness with respect to the preceding feature of claim 12. Therefore, claim 12 is not unpatentable under 35 U.S.C. §103(a).

# Claim 15

Since claim 15 depends from claims 1 and 14, which Appellants have argued *supra* to be patentable under 35 U.S.C. §103(a), Appellants maintain that claim 15 is not unpatentable under 35 U.S.C. §103(a). Additionally, Somaki in view of Akamatsu and further in view of Thomas does not teach or suggest the feature: "wherein the second nonsolderable and nonconductive coating material includes a cured light-sensitive resin" as required by claim 15. The Examiner alleges that Thomas teaches the preceding feature of claim 15 in col.5 lines 62-67; col.6 lines 23-28; col.7 lines 1-3; col.8 line 65- col.8 line 3 of Thomas. However, the Examiner has not provided a reason for combining Thomas with Somaki and Akamatsu in relation to the preceding feature of claim 15. Therefore, Appellants maintain that the Examiner has not established a *prima facie* case of obviousness with respect to the preceding feature of claim 15. Therefore, claim 15 is not unpatentable under 35 U.S.C. §103(a).

# **SUMMARY**

In summary, Appellants respectfully request reversal of the rejection under 35 U.S.C. §103(a) of claims 1-18 and 40-41.

Respectfully submitted,

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For:	FLIP CHIP C4 EXTENSION STRUCTURE	) : AND :	PROCESS

Commissioner for Patents Washington, D.C. 20231

#### **APPENDIX - CLAIMS ON APPEAL**

# 1. An electrical structure, comprising:

a first substrate;

a first conductive body mechanically and electrically coupled to the first substrate;

a third conductive body mechanically and electrically coupled to the first substrate;

a nonsolderable and nonconductive material, wherein the nonsolderable and nonconductive material volumetrically surrounds and contacts a first portion of a surface of the first conductive body such that a second portion of the surface of the first conductive body is not contacted by the nonsolderable and nonconductive material, wherein the nonsolderable and nonconductive material volumetrically surrounds and contacts a first portion of a surface of the third conductive body such that a second portion of the surface of the third conductive body is not contacted by the nonsolderable and nonconductive material, and wherein the nonsolderable and nonconductive material is continuously distributed between the first conductive body and the

third conductive body;

a second conductive body mechanically and electrically coupled to the first conductive

body by surface adhesion at between a surface of the second conductive body and the second

portion of the surface of the first conductive body, wherein a melting point of the second

conductive body is less than a melting point of the first conductive body;

a fourth conductive body mechanically and electrically coupled to the third conductive

body by surface adhesion between a surface of the fourth conductive body and the second portion

of the surface of the third conductive body, wherein a melting point of the fourth conductive

body is less than a melting point of the third conductive body; and

a second substrate mechanically and electrically coupled to both the second conductive

body and the fourth conductive body.

2. The electrical structure of claim 1, wherein the first conductive body includes a solder bump.

3. The electrical structure of claim 2, wherein a height of the second conductive body is at least

about 50% of a height of the solder bump.

4. The electrical structure of claim 2, wherein an area of the first portion of the surface of the

first conductive body exceeds an area of the second portion of the surface of the first conductive

body by a factor of at least about 10.

5. The electrical structure of claim 1, wherein a height of the second conductive body is at least

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about 3 mils.

6. The electrical structure of claim 1, wherein the nonsolderable and nonconductive material

selected from the group consisting of a polyimide, a photosentive resin, an epoxy, and a silicone.

7. The electrical structure of claim 1, further comprising an encapsulating material between the

nonsolderable and nonconductive material and the second substrate, wherein the encapsulating

material encapsulates the second conductive body.

8. The electrical structure of claim 7, wherein the encapsulating material includes epoxy

anhydride with silica filler.

9. The electrical structure of claim 1, wherein the melting point of first conductive body exceeds

the melting point of the second conductive body by no more than about 147 °C.

10. The electrical structure of claim 1, wherein the second conductive body includes lead and tin

in a eutectic lead/tin ratio.

11. The electrical structure of claim 1, wherein the second conductive body includes lead and tin

in lead/tin ratio that exceeds a eutectic lead/tin ratio.

12. The electrical structure of claim 1, wherein the second substrate includes an organic

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material.

13. The electrical structure of claim 1, wherein the second substrate includes a ceramic material.

14. The electrical structure of claim 1, further comprising a second nonsolderable and

nonconductive coating material, wherein a first portion of a surface of the second conductive

body is coated by the second nonsolderable and nonconductive coating material such that a

second portion of the surface of the second conductive body is not contacted by the second

nonsolderable and nonconductive coating material, and wherein the second portion of the surface

of the second conductive body is mechanically and electrically coupled to the second portion of

the surface of the first conductive body.

15. The electrical structure of claim 14, wherein the second nonsolderable and nonconductive

coating material includes a cured light-sensitive resin.

16. The electrical structure of claim 1, wherein the first substrate includes a chip, and wherein

the second substrate includes a chip carrier or a circuit card.

17. The electrical structure of claim 1, wherein the first substrate includes a chip or a module,

and wherein the second substrate includes a circuit card.

18. An electrical structure, comprising:

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a first substrate;

a first conductive body mechanically and electrically coupled to the first substrate;

a third conductive body mechanically and electrically coupled to the first substrate;

a nonsolderable and nonconductive material, wherein the nonsolderable and

nonconductive material volumetrically surrounds and contacts a first portion of a surface of the

first conductive body such that a second portion of the surface of the first conductive body is not

contacted by the nonsolderable and nonconductive material, wherein the nonsolderable and

nonconductive material volumetrically surrounds and contacts a first portion of a surface of the

third conductive body such that a second portion of the surface of the third conductive body is

not contacted by the nonsolderable and nonconductive material, and wherein the nonsolderable

and nonconductive material is continuously distributed between the first conductive body and the

third conductive body;

a second conductive body, wherein a melting point of the second conductive body is less

than a melting point of the first conductive body;

means for mechanically and electrically coupling the second conductive body to the first

conductive body by surface adhesion between a surface of the second conductive body and the

second portion of the surface of the first conductive body;

a fourth conductive body, wherein a melting point of the fourth conductive body is less

than a melting point of the third conductive body;

means for mechanically and electrically coupling the fourth conductive body to the third

conductive body by surface adhesion between a surface of the fourth conductive body and the

second portion of the surface of the third conductive body; and

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a second substrate mechanically and electrically coupled to both the second conductive body and the fourth conductive body.

I)

- 40. The electrical structure of claim 1, wherein the nonsolderable and nonconductive material is rigid at a temperature that is equal to the melting point of the first conductive body, and wherein the nonsolderable and nonconductive material is rigid at a temperature that is equal to the melting point of the third conductive body.
- 41. The electrical structure of claim 18, wherein the nonsolderable and nonconductive material is rigid at a temperature that is equal to the melting point of the first conductive body, and wherein the nonsolderable and nonconductive material is rigid at a temperature that is equal to the melting point of the third conductive body.